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PTO/SB/21 (09-04)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/659,734	
Filing Date	September 9, 2003	
First Named Inventor	ANTONI KOZLOWSKI	
Art Unit	1711	
Examiner Name	Duc Truong	
Total Number of Pages in This Submission	11	Attorney Docket Number
		6800-0073

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form (duplicate) <input type="checkbox"/> Fee Attached (\$180 check)  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement Statement (2 pages) PTO/SB/08A list of refs (1 page) 2 Cited Refs (pages not counted)	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Communication (2 pgs) Request for Corrected Filing Receipt (2 pgs) Copy of Filing Receipt with Changes Indicated (1 pg) Copy of Filing Receipt for Provisional Patent Application No. 60/456,480 Indicating Correct Filing Date (1 pg) Declaration Under 37 CFR 1.63 (1 pg) Supplemental Application Data Sheet (3 pgs) Strikethrough Version of Prior ADS (1 pg) Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 18-1648.	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

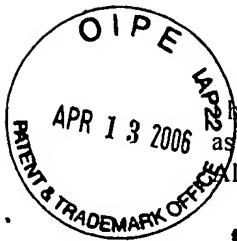
Firm Name	Robins & Pasternak LLP		
Signature			
Printed name	Susan T. Evans		
Date	April 10, 2006	Reg. No.	38,443

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name	Matthew D. Redlon	Date	April 10, 2006

Atty Dkt No. SHE0073.00  
6800-0073  
PATENT



hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, V.A. 22313-1450 on the following date:

4-10-06

  
Matthew D. Redlon

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

KOZLOWSKI, Antoni

Confirmation No.: 3893

Serial No.: 10/659,734

Group Art Unit: 1713

Filing Date: September 9, 2003

Examiner: Truong, Doc.

Title: WATER-SOLUBLE POLYMER ALKANALS

## COMMUNICATION

Commissioner for Patents  
PO Box 1450  
Alexandria, V.A. 22313-1450

Sir:

Please replace all documents faxed to the USPTO (Mail Stop Issue Fee) on March 1, 2006, with the documents enclosed herein. Enclosed are the following:

(i) Request for Corrected Filing Receipt, clearly indicating the changes requested, as further shown in the attached marked-up Filing Receipt, with deletions indicated in strikethrough and additions indicated by underlining,

(ii) a newly executed Declaration under 37 CFR 1.63, executed by the inventor, Antoni Kozlowski, on February 3, 2006, with attached Supplemental Application Data Sheet (ADS). A newly executed Declaration is being submitted herewith, since the Declaration executed on 1-08-04 contained incorrect priority information for the instant application, due to inadvertent word-processing errors.

In the documents forwarded on March 1, 2006, the marked-up version of the Filing Receipt failed to indicate the errors therein. The enclosed marked-up Filing Receipt clearly shows the changes requested. Further, in the documents filed on March 1,

2006, the Supplemental ADS was not indicated as such, and changes to the ADS document were not indicated, relative to the prior Supplemental ADS filed on June 23, 2005. Enclosed are both a strike-through version of the prior ADS, and a Supplemental ADS, indicated as such, along with underlining to indicate new entries relative to the prior ADS. Also enclosed is a PTO/SB/81 Power of Attorney and Correspondence Address Indication Form, executed on behalf of the Assignee of record. A Statement Under 37 CFR 3.73(b) was faxed to the USPTO on 8-12-2004, and thus is already of record.

Applicant respectfully request entry of the enclosed documents in the file.

Respectfully submitted,

Date: April 10, 2006

By: 

Susan T. Evans  
Registration No. 38,443  
On behalf of Nektar Therapeutics

ROBINS & PASTERNAK LLP  
1731 Embarcadero Road, Suite 230  
Palo Alto, CA 94303  
Telephone: (650) 493-3400  
Facsimile: (650) 493-3440



Atty Dkt SHE0073.00

6800-0073

PATENT

hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the following date:

4-10-06  
Date

  
Matthew D. Redlon

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:

KOZLOWSKI, Antoni Confirmation No.: 3893

Serial No.: 10/659,734 Group Art Unit: 1711

Filing Date: September 9, 2003 Examiner: Truong, Duc

Title: **WATER-SOLUBLE POLYMER ALKANALS**

**REQUEST FOR A CORRECTED FILING RECEIPT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application, indicating the proper priority information. Please make the following corrections:

**Under Domestic Priority data as claimed by applicant:**

Please delete:

~~and claims benefit of 60/456,850 03/21/2003~~

and replace with:

and claims benefit of 60/456,580 03/19/2003.

Attached are copies of the marked-up Filing Receipt mailed 03/01/2004 with the corrections indicated above. Additionally, enclosed is a copy of the Filing Receipt for Provisional Application No. 60/456,580 indicating the correct filing date. Additional support for the correct priority information is found in the first paragraph of the instant application, under the section entitled, "Cross Reference to

Related Applications". Finally, the information in the Filing Receipt mailed on 12/02/2003 appears to be correct.

Also enclosed is a Supplemental Application Data Sheet in accordance with 37 C.F.R. §1.76(c) with updated priority information.

Applicants believe that no fee is required for this correction. If the Commissioner believes that a fee is required, however, he is authorized to deduct it from the undersigned's Deposit Account No. 18-1648.

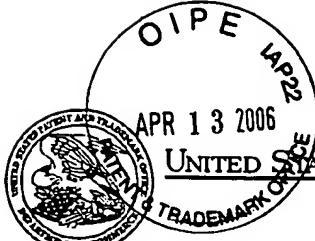
Respectfully submitted,

Date: April 10, 2006

By: 

Susan T. Evans  
Registration No. 38,443  
on behalf of Nektar Therapeutics

ROBINS & PASTERNAK LLP  
1731 EMBARCADERO ROAD, SUITE 230  
PALO ALTO, CA 94303  
TEL: (650) 493-3400  
FAX: (650) 493-3440



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/659,734	09/09/2003	1713	4104	SHE0073.00	1	154	8

21968  
NEKTAR THERAPEUTICS  
150 INDUSTRIAL ROAD  
SAN CARLOS, CA 94070

CONFIRMATION NO. 3893

UPDATED FILING RECEIPT



\*OC000000011993862\*

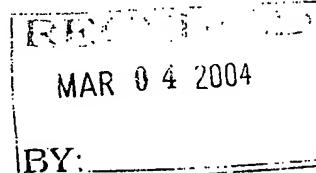
Date Mailed: 03/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Antoni Kozlowski, Huntsville, AL;

60/456,580 03/19/2003



Domestic Priority data as claimed by applicant

This appln claims benefit of 60/409,251 09/09/2002  
and claims benefit of 60/456,850 03/21/2003

(\*Data provided by applicant is not consistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted: 12/02/2003

Projected Publication Date: 06/10/2004

Non-Publication Request: No

Early Publication Request: No

Title

Water-soluble polymer alkanals

Preliminary Class

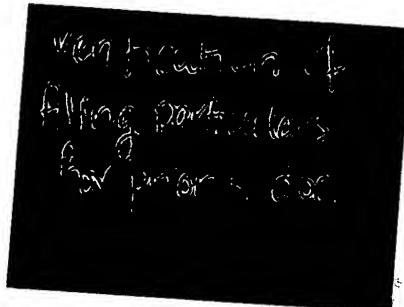


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UNITED STATES DEPARTMENT OF COMMERCE  
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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/456,580	03/19/2003	160		SHE0073.PRO2			

021968  
 NEKTAR THERAPEUTICS,  
 150 INDUSTRIAL ROAD  
 SAN CARLOS, CA 94070



CONFIRMATION NO. 8793  
 FILING RECEIPT



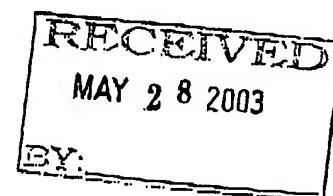
OC00000001D109393

Date Mailed: 05/23/2003

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Antoni Kozlowski, Huntsville, AL;



If Required, Foreign Filing License Granted: 05/23/2003

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

RECEIVED

JAN 13 2006

ROBINS &amp; PASTERNAK LLP

## Title

Aldehyde derivatives of water-soluble polymers

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 Title 35, United States Code, Section 184  
 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

BEST AVAILABLE COPY



**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10/658,734
Filing Date	September 9, 2003
First Named Inventor	ANTONI KOZLOWSKI
Title	WATER-SOLUBLE POLYMER ALKANALS
Art Unit	1711
Examiner Name	Duc Truong
Attorney Docket Number	SHE0073 (6800-0073)

I hereby appoint:

Practitioners associated with Customer Numbers:

20855

OR

Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

The address associated with the above-mentioned Customer Number:

OR

The address associated with Customer Number:

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Individual Name

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State

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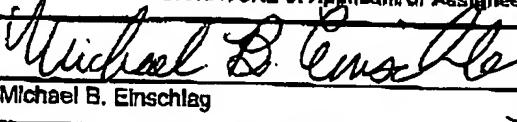
Email

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is on file. (Form PTO/SB/86).

**SIGNATURE of Applicant or Assignee of Record**

Signature  Date 4.4.06

Name Michael B. Einschlag Telephone (850) 631-3145

Title and Company Vice President, Intellectual Property, Nektar Therapeutics

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

\*Total of one forms are submitted.



## Supplemental Application Data Sheet

### **Application Information**

Application Number:: 10/659,734

Filing Date:: 09/09/2003

Application Type:: Regular

Subject Matter:: Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R?::

Number of CD disks::

Number of copies of CDs::

Sequence submission?::

Computer Readable Form (CRF)?::

Number of copies of CRF::

Title:: WATER-SOLUBLE POLYMER ALKANALS

Attorney Docket Number:: SHE0073.00 (6800-0073)

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure::

Total Drawings Sheets:: 1

Small Entity?:: No

Latin Name::

Variety denomination name::

Petition included?:: No

Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers::

Secrecy Order in Parent Appl.?:: No

## Applicant Information

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Poland  
Status:: Full Capacity  
Given Name:: Antoni  
Middle Name::  
Family Name:: Kozlowski  
Name Suffix::  
City of Residence:: Huntsville  
State or Province of Residence:: AL  
Country of Residence:: US  
Street of mailing address:: 2209 Fairfax Drive  
City of mailing address:: Huntsville  
State of mailing address:: AL  
Country of mailing address:: US  
Zip Code of mailing address:: 35811

## CORRESPONDENCE INFORMATION

Correspondence Customer

Number:: 21968  
Name:: Nektar Therapeutics  
Street of mailing address:: 150 Industrial Road  
City of mailing address:: San Carlos  
State of mailing address:: CA  
Country of mailing address:: US  
Zip Code of mailing address:: 94070-6256  
Phone Number:: 650-631-3100  
Fax Number:: 650-631-6395  
E-Mail address:: sevans@robinlaw.com

## DOMESTIC PRIORITY INFORMATION

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Claims the benefit under 35 USC 119(e) of	60/409,251	09/09/2002
And also	Claims the benefit under 35 USC 119(e) of	60/456,580	03/19/2003

#### FOREIGN PRIORITY INFORMATION

Country::	Application Number::	Filing Date::	Priority Claimed::

#### ASSIGNEE INFORMATION

Assignee name:: Nektar Therapeutics AL, Corporation

Street of mailing address:: 490 Discovery Drive

City of mailing address:: Huntsville

State of mailing address:: AL

Country of mailing address:: US

Zip Code of mailing address:: 35806



## SUPPLEMENTAL APPLICATION DATA SHEET

37 C.F.R. §1.76(c)

Inventor Information

Inventor One Given Name: Antoni  
Family Name: Kozlowski  
Postal Address Line One: 2209 Fairfax Drive  
City: Huntsville  
State or Province: Alabama  
Postal or Zip Code: 35811  
Citizenship Country: Poland

Correspondence InformationCorrespondence Customer Number: 21968Application Information

Title Line One: Water-Soluble Polymer  
Title Line Two: Alkanals  
Total Drawing Sheets: 1  
Formal Drawings?: No  
Application Type: Utility  
Docket Number: SHE0073.00

Representative InformationRegistration Number: 38,443Continuity Information

This application: Claims priority to  
>Application One: 60/409,251  
Filing Date: September 9, 2002

This application: Claims priority to  
>Application One: 60/456,850  
Filing Date: March 19, 2003